

8/25/04

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/820,631	04/07/2004	Lawrence Cary Gunn III	16696-003001

20985  
 FISH & RICHARDSON, PC  
 12390 EL CAMINO REAL  
 SAN DIEGO, CA 92130-2081



CONFIRMATION NO. 8826

## FORMALITIES LETTER



\*OC000000013041892\*

Date Mailed: 06/23/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$619 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1069 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$619

08/26/2004 BSAYAS11 00000030 10820631

01 FC:2001	385.00 OP
02 FC:2051	65.00 OP
03 FC:2201	43.00 OP
04 FC:2202	576.00 OP

- \$43 for 1 independent claims over 3.
- \$576 for 64 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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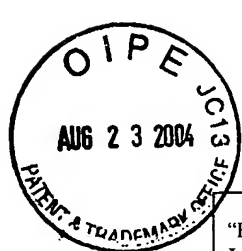
*A copy of this notice MUST be returned with the reply.*

*McKinnon*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Certificate of Mailing By "U.S. Express Mail" Under 37 C.F.R. 1.10(c)

"EXPRESS MAIL" Mailing Label Number: EV 389014240 US Date of Deposit: 08/23/2004

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service  
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated  
above and is addressed to the Assistant Commissioner For Patents, P.O. Box 1450, Alexandria 22313-1450

Name: Chris Va 08/23/2004  
Signature Date

Docket No.: LUX-P038

## APPLICATION TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450.  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **Gunn III, et al.**

Entitled: **"WAFER-LEVEL TESTING OF OPTICAL AND OPTOELECTRONIC CHIPS"**

       No. pages of specification, including title page, claims and abstract  
       No. sheets of        informal,        formal drawings

Also enclosed are:

- X   Executed Combined Declaration and Power of Attorney for Patent Application
- X   An Executed Assignment of the Application
- X   Form PTO-1595 (Recordation Cover Sheet for Assignment)
- Request and Certification Form PTO/SB/35 (11-00)
- X   Application Data Sheet (   7   sheets)
- X   Power of Attorney (PTO/SB/81)
- X   Notice to File Missing Parts; and
- X   Postcard

### FEES DUE

  X   Applicants Claim Small Entity Status (37 CFR 1.27)

The fees due for filing the application pursuant to 37 C.F.R. 1.16 and for recording the Assignment, if any, are determined as follow:

CLAIMS					
	No. of Claims		Extra Claims	Rate	Fees
Basic Application Fee (\$770.00 large entity; \$385 small entity)					\$ 385.00
Total Claims	84	Minus 20 =	64	X \$18 = X \$ 9 (small) =	576.00
Total Independent Claims	4	Minus 3 =	1	X \$86 = X \$43 (small) =	43.00
If Multiple Dependent Claims are presented, add \$290.00 or \$145.00(small)					0.00
If Assignment enclosed, add Assignment Recording Fee \$40.00					40.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$ 1,044.00</b>

**PAYMENT OF FEES**

Statutory basic filing fee:	\$385.00
Total additional claim fee for this application:	\$619.00
Late oath or declaration surcharge:	\$65.00
Assignment fee:	\$40.00

The full fee due in connection with this communication is \$ 1,109.00  
and is provided as follows:

\_\_\_\_\_ The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

X A Check No. 1141 for the above-specified full fee is enclosed. However, in case Applicants inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

**NO 18 MONTHS PUBLICATION**

**REQUEST AND CERTIFICATION UNDER 35. U.S.C. 122(b) (2) (B) (i)**

X I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen month after filing. **I hereby request that the attached application not to be publish under 35 U.S.C. 122(b).**

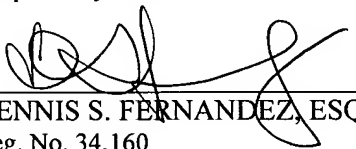
This application is filed pursuant to 37 C.F.R. 1.53 in the name of the above-identified Inventor(s).

Please direct all correspondence concerning the above-identified application to the following Custom Number and Address:

**Customer No: 22877**

**FERNANDEZ & ASSOCIATES, LLP**  
**PATENT ATTORNEYS**  
PO BOX D  
MENLO PARK, CA 94026-6204  
(650) 325-4999  
(650) 325-1203: FAX  
EMAIL: *iploft@iploft.com*

Respectfully submitted,

  
\_\_\_\_\_  
DENNIS S. FERNANDEZ, ESQ  
Reg. No. 34,160

8/23/04  
Date